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WILLIAM A. BLAKE  
J. ANDREW MCKINNEY, JR.\*  
JENNIFER P. YANCY

\*MD BAR ONLY

## JONES, TULLAR & COOPER, P.C.

PATENTS TRADEMARKS AND COPYRIGHTS

SUITE 1002

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IFW  
Receipt

December 4, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Request for Updated Filing Receipt for  
U.S. patent application No. 10/573,574

Sir:


I am requesting an Updated Filing Receipt for the above-identified U.S. patent application number. The city in which Koenig & Bauer Aktiengesellschaft resides is incorrectly stated as "Wiirzburg." The correct spelling is "Wurzburg," as noted on the enclosed Recordation Form Cover Sheet, which was filed with the application on March 27, 2006.

Thank you very much for your attention to this matter.

Very truly yours,

JONES, TULLAR & COOPER, P.C.

By:

  
Douglas R. Hanscom

DRH:ah  
Enclosures

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Sebastian Alois PRUM

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 19, 2005

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

2. Name and address of receiving party(ies)

Name: Koenig & Bauer Aktiengesellschaft

Internal Address: Postfach 60 60

D-97010 Wurzburg

Street Address: Friedrich-Koenig-Str. 4

D-97080

City: Wurzburg

State:

Country: Germany

Zip:

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

TBD

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Douglas R. Hanscom, Jones, Tullar & Cooper, P.C.

Internal Address: P.O. Box 2266 Eads Station

Street Address: 2001 Jefferson Davis Highway

Suite 1002

City: Arlington

State: VA Zip: 22202

Phone Number: (703) 415-1500

Fax Number: (703) 415-1508

Email Address: mail@jonestullarcooper.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

☐ Authorized to be charged by credit card

☐ Authorized to be charged to deposit account

☒ Enclosed Check No. 19574

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature:

Signature

March 27, 2006

Date

Douglas R. Hanscom  
Name of Person Signing

Total number of pages including cover  
sheet, attachments, and documents:

3

DRY



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPL NO.   | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/573,574 | 03/27/2006                | 3721     | 900           | W1.2161 PCT-US  | 10       | 15       | 1        |

CONFIRMATION NO. 4828

## FILING RECEIPT



\*OC000000021198575\*

Douglas R Hanscom  
Jones Tullar & Cooper  
Eads Station  
PO Box 2266  
Arlington, VA 22202

Date Mailed: 11/16/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sebastian Alois Prum, Kaiserslautern, GERMANY;

## Assignment For Published Patent Application

KOENIG &amp; BAUER AKTIENGESELLSCHAFT, Würzburg, GERMANY

## Power of Attorney:

Douglas Hanscom--26600

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/52282 09/23/2004

## Foreign Applications

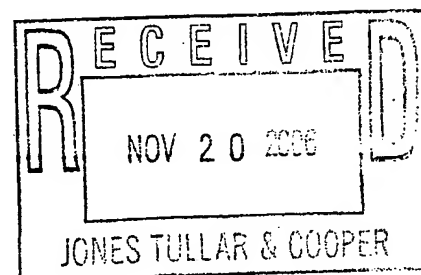
GERMANY 103 44 950.7 09/27/2003

If Required, Foreign Filing License Granted: 11/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/573,574**

Projected Publication Date: 02/22/2007

Non-Publication Request: No



*should be spelled 'Würzburg'*

**Early Publication Request:** No

**Title**

Cylinder pertaining to a folder comprising a cylinder body and at least one gripper

**Preliminary Class**

493

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The

date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

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 Alexandria, Virginia 22313-1450  
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| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|------------------|
| 10/573,574                  | Sebastian Alois Prum  | W1.21 61 PCT-US  |

INTERNATIONAL APPLICATION NO.

PCT/EP04/52282

I.A. FILING DATE

PRIORITY DATE

09/23/2004

09/27/2003

Douglas R Hanscom  
 Jones Tullar & Cooper  
 Eads Station  
 PO Box 2266  
 Arlington, VA 22202

CONFIRMATION NO. 4828

371 ACCEPTANCE LETTER



\*OC000000021198576\*

Date Mailed: 11/16/2006

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

03/27/2006

03/27/2006

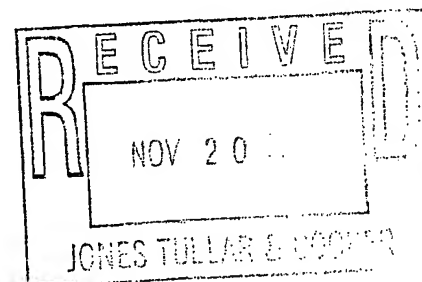
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 03/27/2006
- English Translation of the IA filed on 03/27/2006
- Copy of the International Search Report filed on 03/27/2006
- Copy of IPE Report filed on 03/27/2006
- Copy of Annexes to the IPE filed on 03/27/2006
- English Translation of Annexes to the IPE filed on 03/27/2006
- Preliminary Amendments filed on 03/27/2006
- Information Disclosure Statements filed on 03/27/2006
- Oath or Declaration filed on 03/27/2006
- Request for Immediate Examination filed on 03/27/2006
- U.S. Basic National Fees filed on 03/27/2006



- Substitute Specification filed on 03/27/2006
- Assignment filed on 03/27/2006
- Priority Documents filed on 03/27/2006
- Power of Attorney filed on 03/27/2006

The following defects have been observed:

- Annexes have not been entered because not page for page substitution.
- 

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)